### Pt. 3003

### PART 3003—PRIVACY ACT RULES

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AUTHORITY: Privacy Act of 1974 (Pub. L. 93-579), 5 U.S.C. 552a.

Source:  $64\ \mathrm{FR}\ 57982,\ \mathrm{Oct.}\ 28,\ 1999,\ \mathrm{unless}$  otherwise noted.

#### § 3003.1 Purpose and scope.

This part implements the Privacy Act of 1974 (5 U.S.C. 552a) by establishing Commission policies and procedures that permit individuals to obtain access to and request amendment of information about themselves that is maintained in systems of records. This part does not expand or restrict any rights granted under the Privacy Act of 1974.

### § 3003.2 Definitions.

For purposes of this part:

- (a) *Commission* means the Postal Rate Commission.
- (b) *Individual, record,* and *system of records* have the meanings specified in 5 U.S.C. 552a(a).
- (c) Day means a calendar day and does not include Saturdays, Sundays, and legal holidays.

## § 3003.3 Procedures for requesting inspection, copying, or correction.

- (a) An individual who-
- (1) Wishes to know whether a Commission system of records contains a record about him or her,
- (2) Seeks access to a Commission record about him or her that is maintained in a system of records (including the accounting of disclosures), or
- (3) Seeks to amend a record about him or her that is maintained in a system of records, may file a written request with the chief administrative officer of the Commission at the Commission's current address (1333 H Street NW., Suite 300, Washington, DC 20268-0001). The request should state on the outside of the envelope and in the

request that it is a Privacy Act request.

- (b) A request for amendment must describe the information sought to be amended and the specific reasons for the amendment.
  - (c) A requester-
- (1) May request an appointment to inspect records at the Commission's offices between the hours of 8 a.m. and 4:30 p.m. on any day;
- (2) Must present suitable identification, such as a driver's license, employee identification card, or Medicare card:
- (3) If accompanied by another individual, must sign a statement, if requested by the chief administrative officer, authorizing discussion of his or her record in the presence of that individual;
- (4) Who files a request by mail must include his or her date of birth, dates of employment at the Commission (if applicable), and suitable proof of identity, such as a facsimile of a driver's license, employee identification card, or Medicare card; and
- (5) Must, if requested by the chief administrative officer, provide additional proof of identification.

### § 3003.4 Response to a request.

- (a) In the case of a request for notice of the existence of a record, the chief administrative officer shall respond within 10 days of receipt of a request and shall inform the individual whether a system of records maintained by the Commission contains such a record
- (b) In the case of a request for access to a record or for a copy of a record, the chief administrative officer shall acknowledge the request within 10 days and shall promptly thereafter—
- (1) Fulfill the request by mail or arrange for an inspection by the requester in the Commission's offices; or
- (2) If the request is denied, notify the requester of the denial, the reasons for the denial, the procedures for appealing the refusal, and the name and address of the Chairman of the Commission who will consider an appeal.
- (c) In the case of a request for amendment, the chief administrative officer shall:

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(1) Acknowledge the request in writing within 10 days;

(2) Promptly review the record; and

(3)(i) Make any requested amendment of a record found to be not accurate, relevant, timely, or complete; notify the requester of the change and provide a copy of the corrected record; and notify any previous recipient of the record (excluding Commission staff who obtained the record in the performance of their duties and recipients under the Freedom of Information Act) of any change; or

(ii) Inform the requester of a refusal to amend the record, the reasons for the refusal, the procedures for appealing the refusal, and the name and address of the Chairman of the Commission who will consider an appeal.

## § 3003.5 Appeals of denials of access or amendment.

(a) If a request for access to or amendment of a record is denied, the requester may file a written appeal with the Chairman of the Commission. The Chairman will decide each appeal within 30 days of receipt unless the Chairman has, for good cause, extended the period for another 30 days.

(b) If an appeal is denied, the requester will be notified of the decision, the reasons for the denial, the right to file a concise statement of disagreement, the procedures for filing a statement of disagreement, the subsequent uses of a statement of disagreement, and of the right to seek judicial review in accordance with subsection (g) of the Privacy Act.

### § 3003.6 Fees.

The first copy of any record furnished under the Privacy Act of 1974 will be provided without charge. Additional copies will be charged at the cost of reproduction.

### § 3003.7 Exemptions.

The Postal Rate Commission has not established any exempt system of records.

# PART 3004—FREEDOM OF INFORMATION RULES

Sec. 3004.1 Purpose. 3004.2 Reading room.

3004.3 Requests for records and or expedited processing.

3004.4 Response to requests.

3004.5 Appeals.

3004.6 Fees.

3004.7 Aggregation of requests.

3004.8 Submission of business information.

AUTHORITY: 39 U.S.C. 3603; 5 U.S.C. 552, 552a

Source:  $64\ \mathrm{FR}\ 58337,\ \mathrm{Oct.}\ 29,\ 1999,\ \mathrm{unless}$  otherwise noted.

### § 3004.1 Purpose.

(a) This part is published pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. 552, to describe the procedures by which a person can request copies of Commission records. It also describes how a submitter of trade secrets or confidential business information can identify information that the submitter believes to be exempt from disclosure under 5 U.S.C. 552(b).

(b) An individual seeking access to a record about himself or herself that is subject to the Privacy Act of 1974 should also consult the Commission's Privacy Act rules in part 3003 for the procedures that apply to requests for records under that Act. Requests for first-party access can be made under both the FOIA and the Privacy Act of 1974.

(c) Information required to be published or made available pursuant to 5 U.S.C. 552(a)(1) and (a)(2) may be found in part 3002, elsewhere in this chapter, in the FEDERAL REGISTER, or on the Commission's website at www.prc.gov. The Commission's guide to the FOIA, all required FOIA indexes, and any available annual FOIA reports, are also available at the website in the electronic reading room or elsewhere on the site.

(d) Section 3001.42(b) of this chapter identifies records that the Commission has determined to be public.

### § 3004.2 Reading room.

(a) The Commission maintains a public reading room at its offices at 1333 H Street NW., Washington, DC 20268. The reading room is open from 8:00 a.m. until 4:30 p.m. during business days.

(b) The records available for public inspection and copying in the reading room include: final opinions, statements of policy, administrative staff